LAW OFFICES OF

FAY KAPLUN & MARCIN, LLP

INTELLECTUAL PROPERTY LAW

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FACSIMILE COVER SHEET

FAX NO

(703) 872-9306

TO

Commissioner for Patents

Mail Stop: Patent Application

FROM

Oleg F. Kaplun, Esq.

DATE

August 20, 2004

SUBJECT

U.S. Patent Appl. Serial No. 10/627,987

for Superconducting Tapes
Our Ref.: 40121/00502

NUMBER OF PAGES INCLUDING COVER: 19

MESSAGE:

Please see attached. Thank you.

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[40121/00502]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Rupeng Zhao

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Serial No.

10/627,987

AUG 2 0 2004

Filed

July 28, 2003

For

SUPERCONDUCTING TAPES

OFFICIAL

Date: August 20, 2004

Group Art Unit

1725

Examiner

Colleen Cooke

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Facsimile

I hereby certify that this correspondence is being transmitted via facsimile to:

Commissioner for Patents Mail Stop: Patent Application (703) 872-9306

TRANSMITTAL

SIR:

In response to the Office Action mailed March 1, 2004 transmitted herewith please find an Amendment for filing in the above-identified application.

The Commissioner is hereby authorized to charge the three-month extension fee in the amount of \$950.00 to the Deposit Account of Fay Kaplun & Marcin, LLP No. 50-1492. If any further fees are required, please use the Deposit Account. A copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: August 20, 2004

Oreg F. Kaplun, Reg. No. 45,559

Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, NY 10038

Tel: (212) 619-6000 Fax: (212) 619-0276

[40121/00502]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Rupeng ZHAO

Serial No.

10/627,987

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July 28, 2003

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1725

Examiner

Colleen Cooke

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 3.73(b)

METAL MANUFACTURERS LIMITED hereby states that it is the assignee of the entire right, title, and interest of the above-identified application, co-pending U.S. Patent Application Serial No. 10/617,389 filed July 11, 2003 ('389 application) and prior U.S. Patent No. 6,600,939 issued July 29, 2003 ('939 patent). The assignment of the '389 application to Metal Manufacturers Limited was filed with the USPTO on February 18, 2004 and a copy of which is attached herewith. The assignment of the '939 patent to Metal Manufacturers Limited was recorded on September 4, 2002 and assigned Reel/Frame 013262/0746. The above-identified application is also owned by Metal Manufacturers Limited by way of an Assignment, which has been filed with the USPTO also on February 18, 2004 and a copy of which is attached herewith.

The undersigned is authorized to act on behalf of the assignee.

Dated: Heryust 20, 2004

Respectfully submitted,

Oleg F. Kablun (Reg. No.

FAY KAPLUN & MARCIN, LLP

150 Broadway, Suite 702 New York, NY 10038

Tel: (212) 619-6000

Fax: (212) 619-0276

JONES VOLENTINE L.L. (6/2000)

ASSIGNMENT

	In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to				
Insert Name(s) of Inventor(s)	each of the undersigned Rupeng Zhao				
	and				
•					
Insert Name(s)	the undersigned hereby sell(s) and assign(s) to				
of Assignee(s)	Metal Manufactures Limited				
Address	of 19-21Loyalty Road, North Rocks NSW 2151. Australia				
	(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35				
	USC 100, in the invention known as				
	"SUPERCONDUCTING TAPES"				
Title of Invention					
	for which an application for patent in the United States of America has been executed by the undersigned				
Date of Signing of Application	on 15 Oec 2000				

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the international Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the emire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

Page | of 2

NO.898 D12

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The undersigned hereby grant(s) to Raymond C. Jones, Reg. No. 34,631 and Adam C. Volentine, Reg. No. 33,289, of the firm of JONES VOLENTINE, L.L.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

La witness w	hereof, execute	d by the undersigned	on the date(s) opp	posite the undersigned name(s).	
te It Dec	Na , Na	me of Inventor	hoo Russ	15	
	•	Rupen me of Inventor	ng Zhao		
te	, Na	ms of Inventor			.
le	, Na	me of Inventor			
te	, Na	me of Inventor			
but not requi least two wit an Assignme Wit	ired. If not ackn tnesses who sign	nowledged, then it is not here. However, the cannot been acknowledged.	ecommended that the current practice of t	gment before a United States Conte execution by the Inventor(s) be the U.S. Patent and Trademark O.	witnessed by at
		ACKNO	WLEDGMEI	NT	
		} ss			
This		day of		, 19, before me personally	y came the above
		dividual(s) who execute n free will for the purp		signment, who did acknowledge to) me that he (the
EAL			Offi	cial Signature	
			Offi	icial Title	
		***	*******		****
Applicant Reference	æ No.: P	CT/AU99/00180	Atty Docket	No.: <u>BSW.006</u>	
Serial No.:	09/661,254		Filing Date:	September 13, 2000	
			Page 2 of 2		

PAGE 16/19 * RCVD AT 8/20/2004 5:28:06 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:212 619 0276 * DURATION (mm-ss):07-16

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[40121/00302]

FORM PTO 1585

RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PATENTS ONLY

To the Commissioner for Patents: Please record the attached original documents or copy thereof						
1. Name of conveying party(ies):	2. Name and address of receiving party(les)					
Rupeng Zhao	Name: METAL MANUFACTURERS LIMITED					
Additional name(s) of conveying parties attached? U Yes x No	Street Address:					
3. Nature of conveyance: Assignment ☐ Merger □ Security Agreement ☐ Change of Name □ Other: Execution date: 12/15/2000	19-21 LOYALTY ROAD NORTH ROCKS NSW 2051, AUSTRALIA					
4. Application numbers or patent numbers:						
A. Patent Applications: 10/617,389 filed on 7/11/2003	B. Patent No.(s)					
Additional Numbers attached? □ Yes ☑ No						
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1 TITLE: SUPERCONDUCTING TAPES					
Name: Oleg F. Kaplun, Esq. Internal Address: Fay Kaplun & Marcin, LLP	7. Total fee (37 C.F.R. 3.41)\$ 40.00 Enclosed Authorized to be charged to deposit account					
Street Address: 150 Broadway, Suite 702 City: New York State: New York ZIP: 10038	8. Deposit account number:					
DO NOT USE THIS SPACE						
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true mnd correct and any attached copy is a true copy of the original document.						
Oleg F. Kaplun, (Reg. No. 45,559) Name of Person Signing Z/18/09 Date						
Total Number of pages including cover sheet, attachments, and document: 3						
OMB No. 0651-0011 (exp. 4/94)						
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